

**CERTIFICATE OF MAILING**

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date 6/14/01 in an envelope as "Express Mail Post Office to Addressee" mailing label Number EL029404620US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Mary E. Anza

(typed or printed name of person mailing paper)

(Signature of person mailing paper)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Craig Partridge et al.  
For : METHOD AND APPARATUS FOR TRACING PACKETS  
Filed: : Herewith

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 and 1.99**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.99 in the above-identified application for consideration by the Patent Office. A listing of the cited documents is also enclosed, as well as, for the Examiner's convenience, a copy of the document. Pursuant to 37 C.F.R. § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

Applicant does not intend to represent that the document submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant requests that the document submitted herein be considered and made of record in this application.

Respectfully submitted,

A handwritten signature in black ink, reading "James K. Weixel". The signature is written in a cursive style with a large, looping initial "J".

James K. Weixel  
Reg. No. 44,399

Verizon Services Group  
HQE03H01  
600 Hidden Ridge  
Irving, TX 75038  
TEL: (781) 466-2220  
FAX: (781) 466-4021

U.S. PTO  
09/881074  
06/14/01

EXPRESS MAIL NO. EL029404620US  
  
INFORMATION DISCLOSURE CITATION  
  
(Use several sheets if necessary)

Atty. Docket No.  
00-4039B

Serial No.  
Unassigned

Applicant

Craig Partridge et al.

Filing Date  
Herewith

Group  
Unassigned

U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BA	Stefan Savage, David Wetherall, Anna Karlin and Tom Anderson, Practical Network Support for IP Traceback, Proc. ACM Sigcomm 2000, Stockholm, Sweden, Aug. 2000, 12 pages.
BB	Beverly Schwartz, Alden W. Jackson, W. Timothy Strayer, Wenyi Zhou, R. Dennis Rockwell and Craig Partridge, Smart Packets: Applying Active Networks to Network Management, ACM Trans. On Computer Systems, Vol. 18, No. 1, Feb. 2000, Pages 67-88.

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.